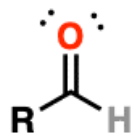
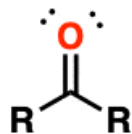


# Carbonyl Reactions

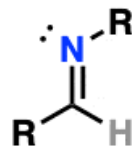
Imines are the nitrogen-containing “cousins” of aldehydes and ketones



Aldehyde



Ketone



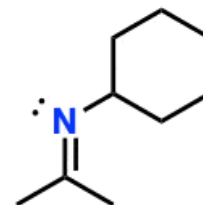
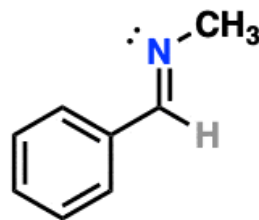
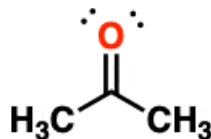
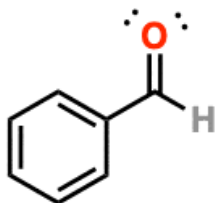
Imine

“aldimine”

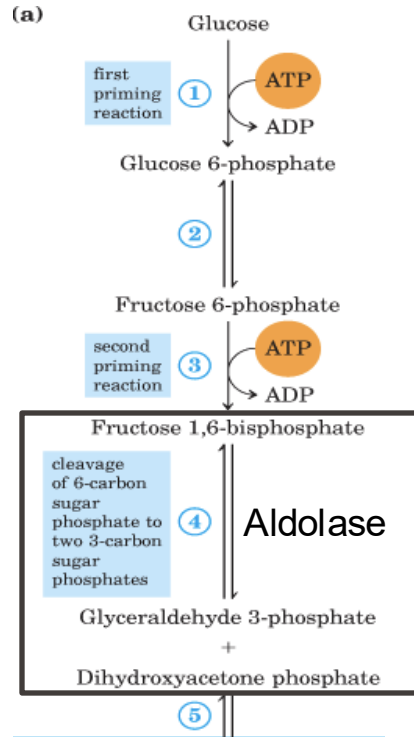


Imine

“ketimine”



# Schiff-Base as common intermediates in enzymatic reactions



## Reaction Mechanism

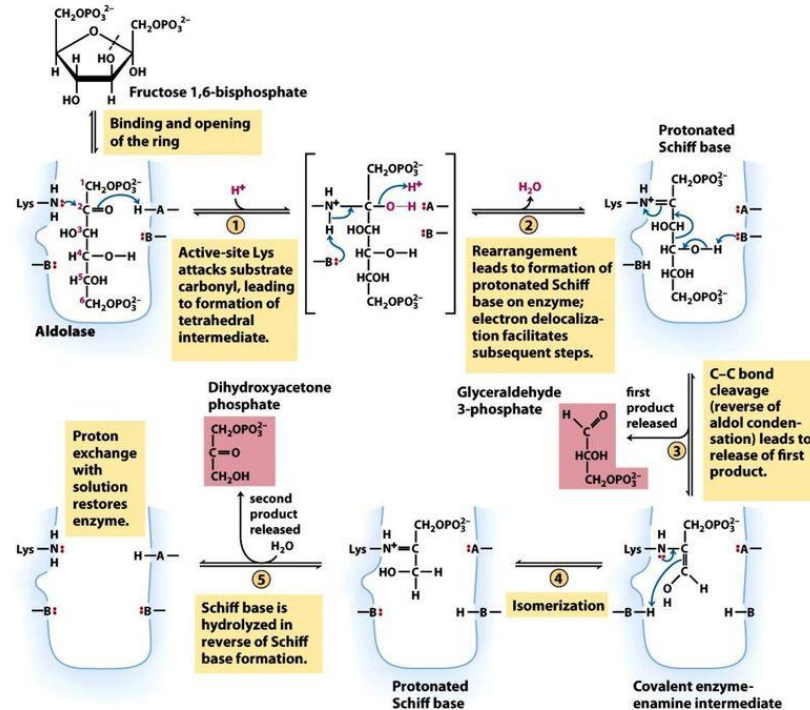
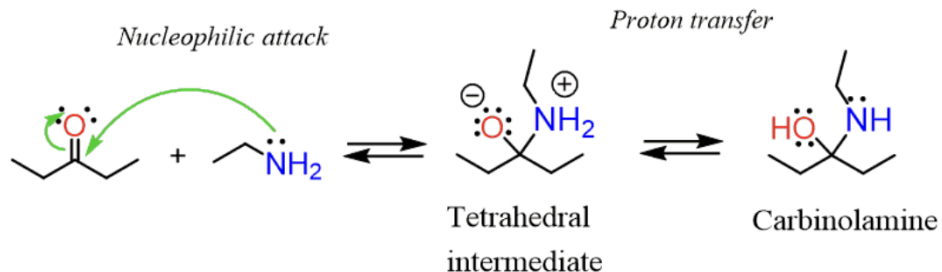
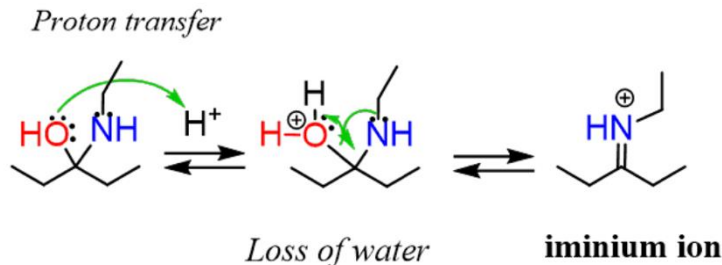


Figure 14-5  
Lehninger Principles of Biochemistry, Fifth Edition  
© 2008 W. H. Freeman and Company

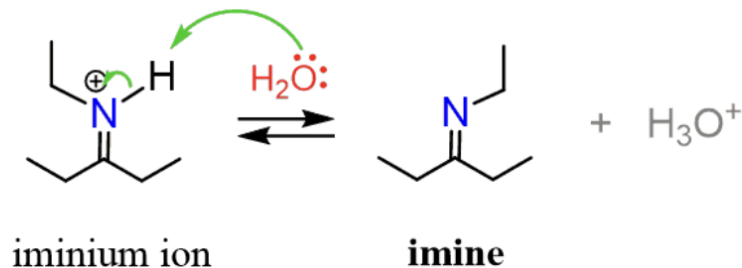
A nucleophilic attack of the amine followed by two proton transfer steps produces an unstable intermediate called a **carbinolamine**:



The oxygen of the carbinolamine is then protonated and expelled by the lone pairs of the nitrogen:



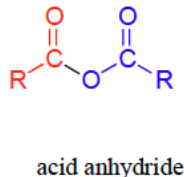
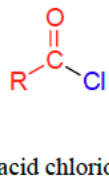
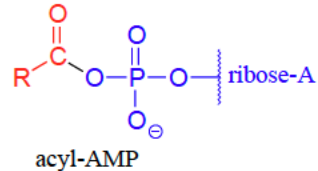
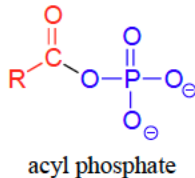
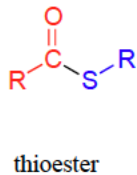
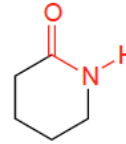
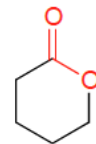
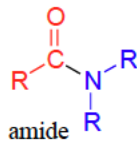
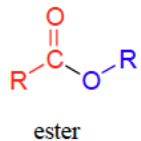
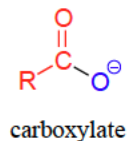
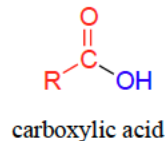
The resulting intermediate (**iminium ion**) is deprotonated to generate an imine as a mixture of *(E)* and *(Z)* isomers when applicable:



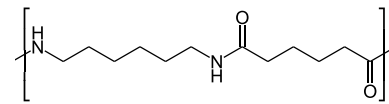
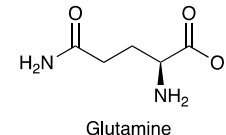
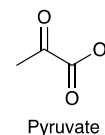
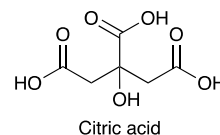
**Lab reactions** don't need acid catalysis for the **initial nucleophilic attack** because the carbonyl is already electrophilic. But in **enzymes**, acid catalysis is often essential to make the carbonyl more reactive and enable imine (Schiff base) formation efficiently under physiological (aqueous) conditions.

**Simple carbonyls**, in which the carbon of the C=O bond is attached to **other carbons** or to **hydrogens**

**Carboxylic acid derivatives**, or **carboxyloids**, in which the carbonyl carbon is attached to a "heteroatom"



## Relevant molecules from life sciences



Polyamid 6.6  
Original Nylon

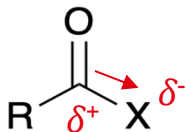
# EPFL Carboxylic acid derivatives – Reactivity

7

In all cases, the carbonyl carbon is electrophilic. However, the reactivity of carboxylic acid derivatives depends on the properties of the substituent (X). Think about how “electrophile” (partial positive charge) the carbonyl carbon is.

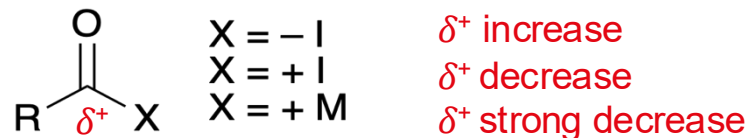


Let's start with the easy one: **Induction Effects (+/- I)**

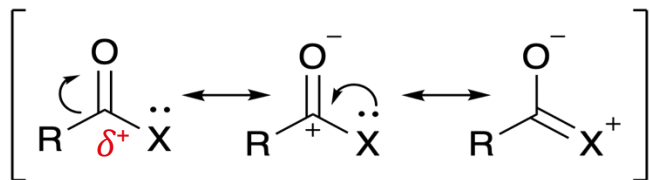


More electronegative X pulls electron density from carbon, thus making the carbonyl carbon more electrophilic (**-I Effect**) and therefore more reactive. If X is a hydrocarbon chain, it stabilizes the carbonyl carbon with a **+I Effect** (Remember reactivity Ketone/Aldehyde).

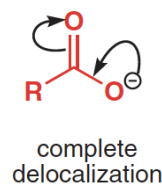
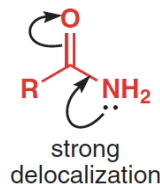
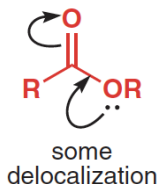
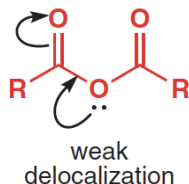
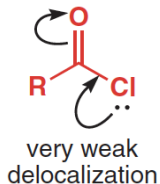
In all cases, the carbonyl carbon is electrophilic. However, the reactivity of carboxylic acid derivatives depends on the properties of the substituent (X). Think about how “electrophile” (partial positive charge) the carbonyl carbon is.



More complex: **Mesomeric Effect (+ M) or Resonance Effect**



Lone pair of electrons on X atom can resonate to create a C=X double bond and a C-O single bond, stabilizing the carbonyl. The degree of delocalization depends on the electron-donating power of the substituent

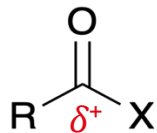




# EPFL Carboxylic acid derivatives – Reactivity

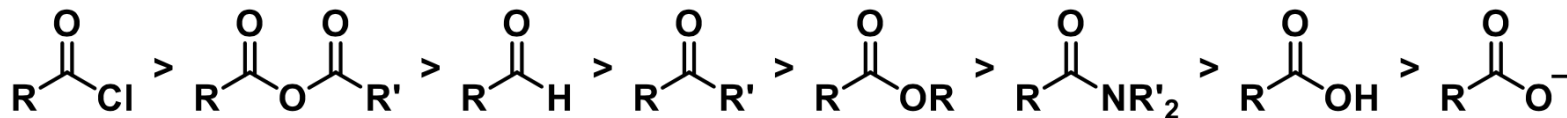
9

By combining both Inductive and Resonance Effects, we get an idea about the reactivity of different types of derivatives. Be aware: Effects like steric hindrance can always interfere with these general rules.

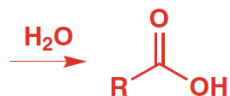


Generally, the greater the difference in electronegativity between C and X, the more dominant the inductive effect becomes.

General reactivity:

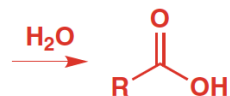


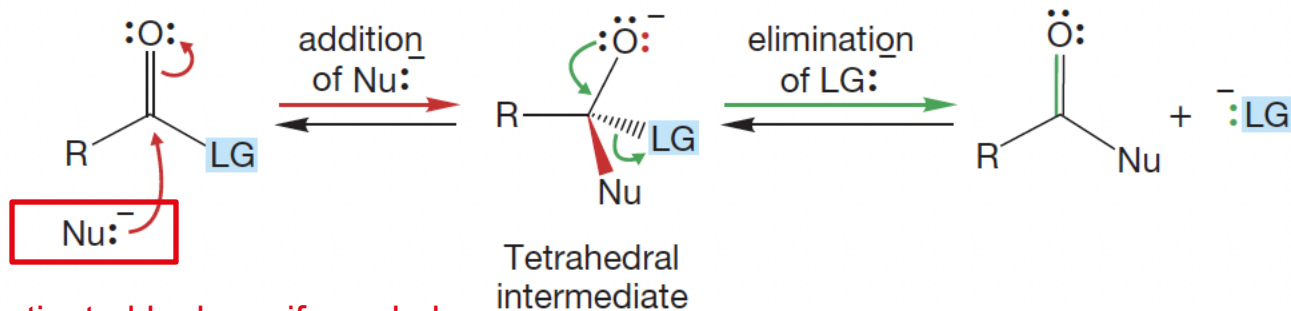
fast at 20 °C    slow at 20 °C



only on heating  
with acid or  
base catalyst

prolonged  
heating needed  
with strong acid  
or base catalyst





Can be activated by base if needed

## Step 1: Nucleophilic attack

A nucleophile (Nu<sup>-</sup>) attacks the electrophilic carbon of the carbonyl group (C=O).

The  $\pi$  electrons of the C=O bond shift to the oxygen, forming a negatively charged oxygen (tetrahedral intermediate).

## Step 2: Formation of the tetrahedral intermediate

The carbon is now bonded to four groups: the original R group, the nucleophile (Nu), the leaving group (LG), and a negatively charged oxygen.

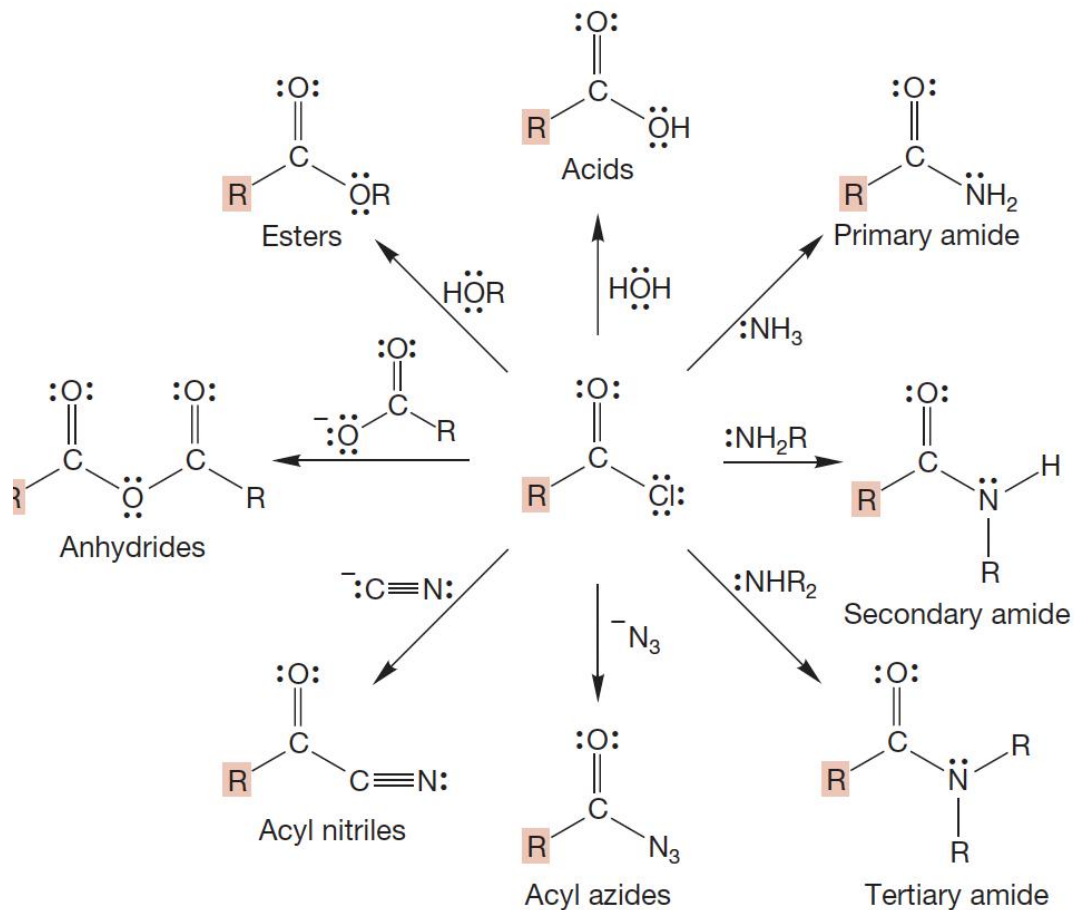
This intermediate is unstable and sets up for elimination.

## Step 3: Elimination of the leaving group

The lone pair on the negatively charged oxygen reforms the C=O double bond.

This results in the expulsion of the leaving group (LG<sup>-</sup>) from the carbon.

# Substitutions at the carbonyl group



# Esters can be hydrolyzed in acidic or basic conditions

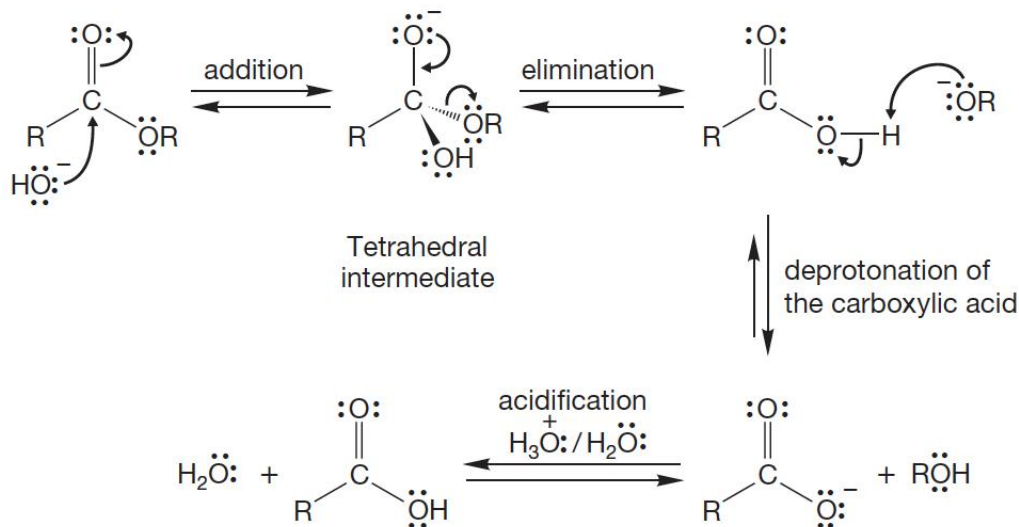
Esters are **less reactive** than **acid chlorides** and **acid anhydrides**.

Esters are **more reactive** than **amides**.

Reactivity trends relate to how easily the leaving group can depart and how electrophilic the carbonyl carbon is. Esters undergo **hydrolysis** under either **acidic** or **basic aqueous conditions**. The product of hydrolysis is the **parent carboxylic acid** (after acid work-up in basic conditions).

## Saponification

Not catalytic!  
OH<sup>-</sup> is used  
up



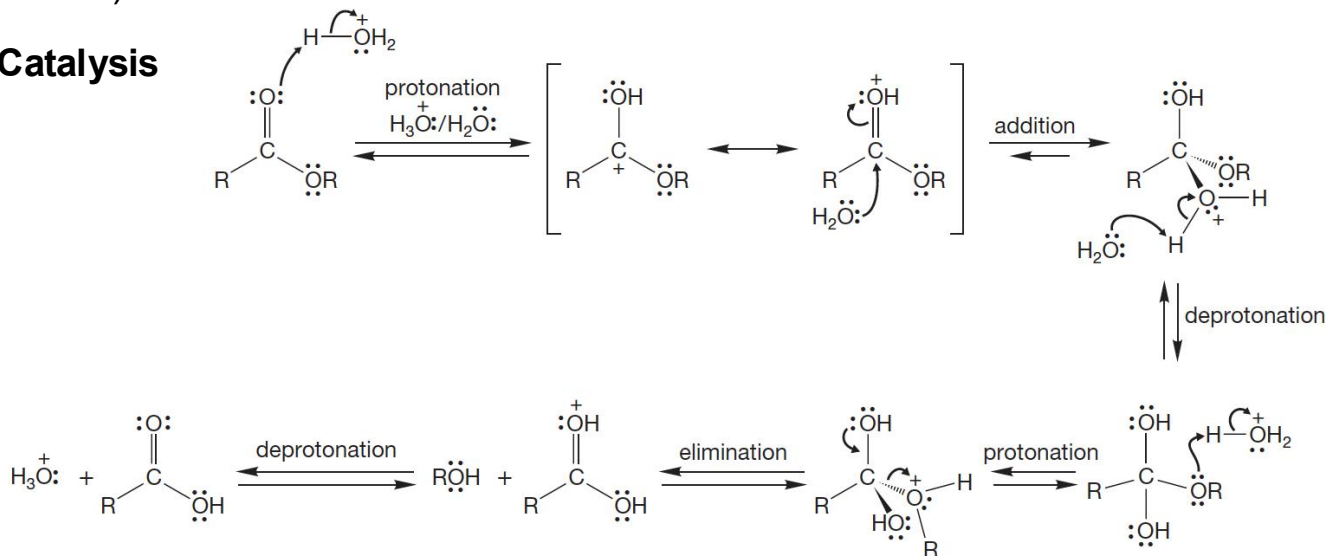
# Esters can be hydrolyzed in acidic or basic conditions

Esters are **less reactive** than **acid chlorides** and **acid anhydrides**.

Esters are **more reactive** than **amides**.

Reactivity trends relate to how easily the leaving group can depart and how electrophilic the carbonyl carbon is. Esters undergo **hydrolysis** under either **acidic** or **basic aqueous conditions**. The product of hydrolysis is the **parent carboxylic acid** (after acid work-up in basic conditions).

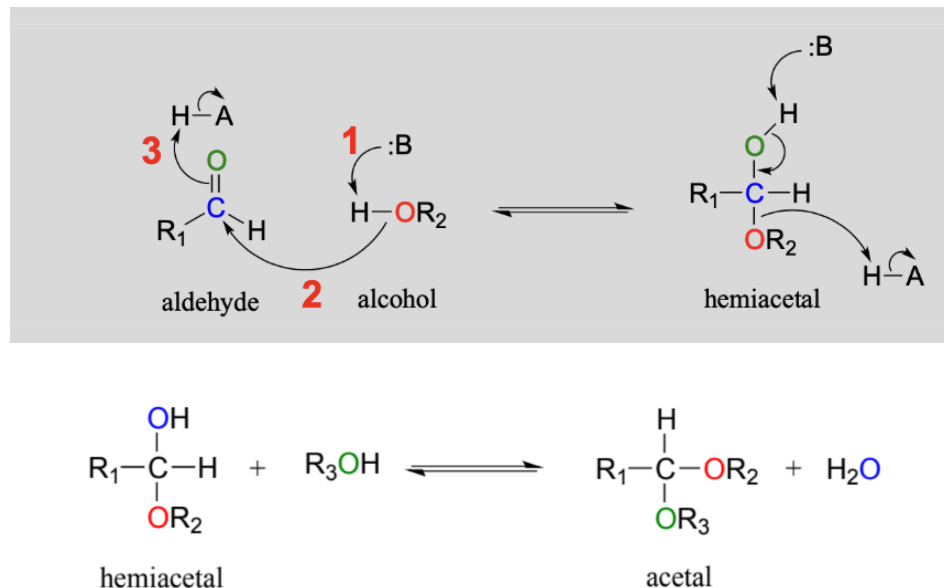
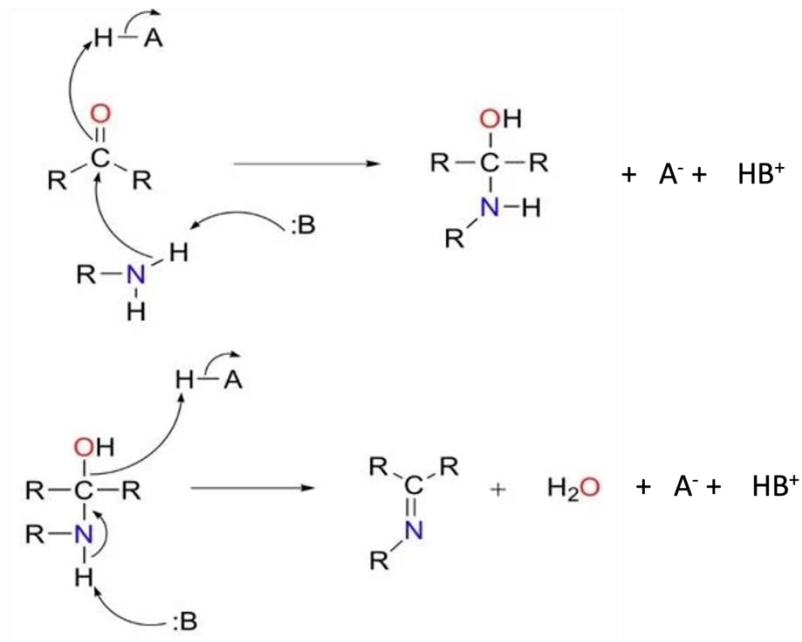
## Acidic Catalysis



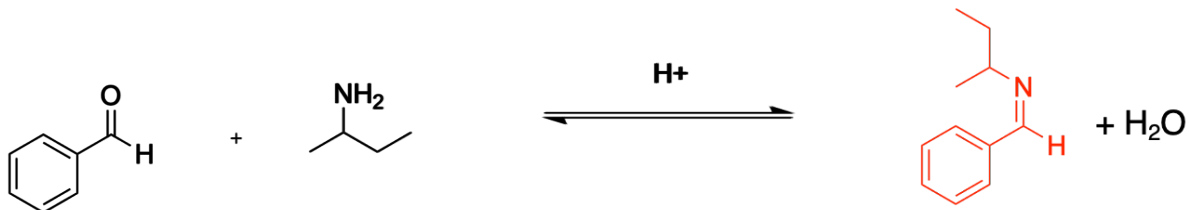
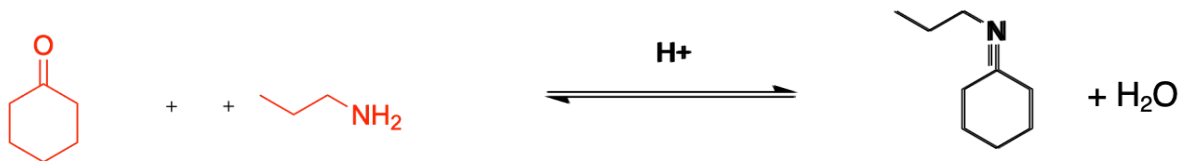
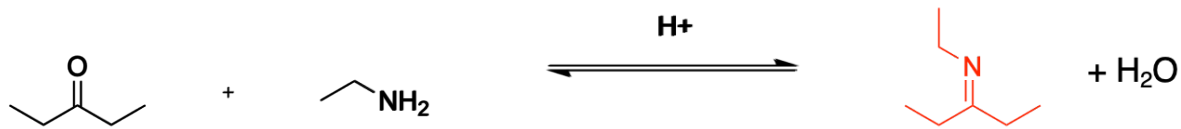
# Exercises 10

## Solutions

1. Draw the enzymatic mechanism of imine formation.  
Which step is different to the formation of acetal/ketals?

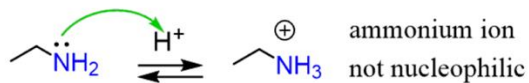
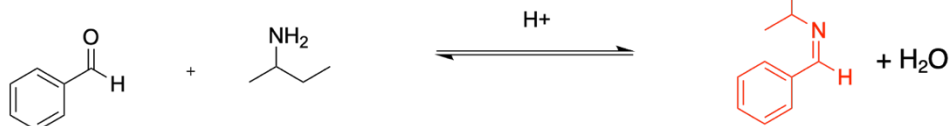
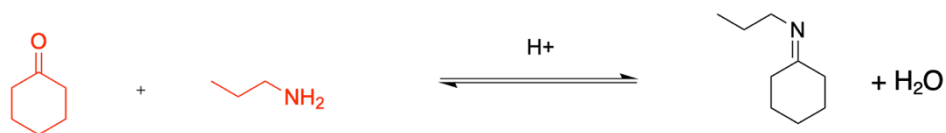


Fill in the blanks in the reactions below. Explain which reaction step requires acid-catalysis during imine formation.

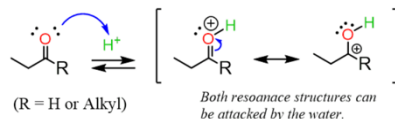




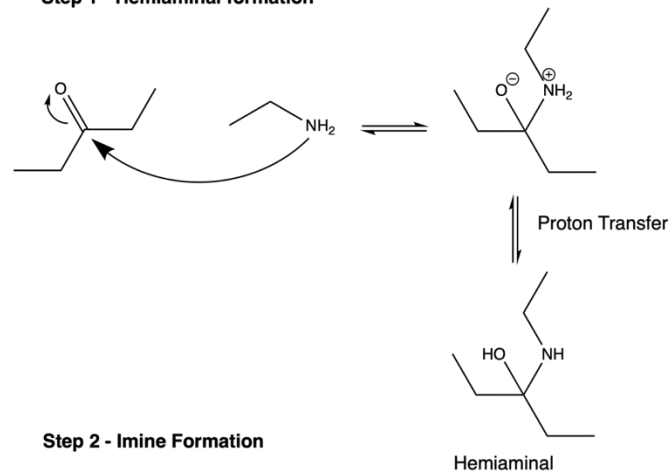
# 10.1 Imine formation



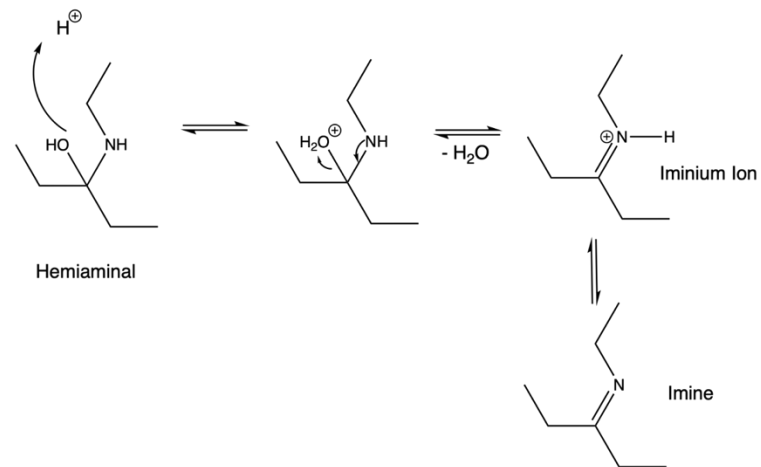
VS



## Step 1 - Hemiaminal formation



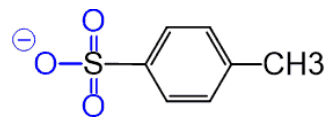
## Step 2 - Imine Formation



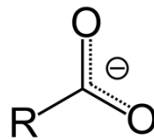
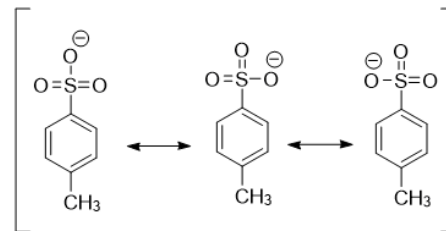
Rank the following carboxylic acid derivatives by their reactivity with the nucleophile water and hypothesize about the required reaction conditions.

More reactive :  
better leaving group – weaker base – stronger acid

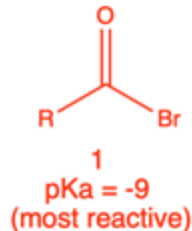
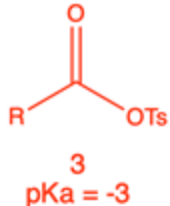
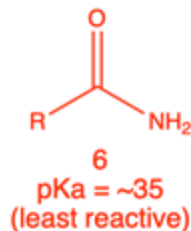
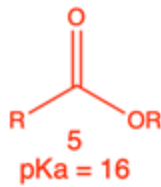
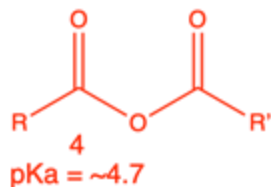
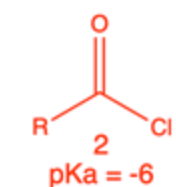
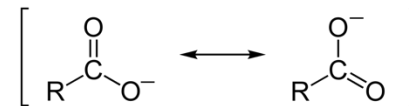
Stronger acid – more willing to donate H!  
So stable without H!



Tosylate



Carboxylate

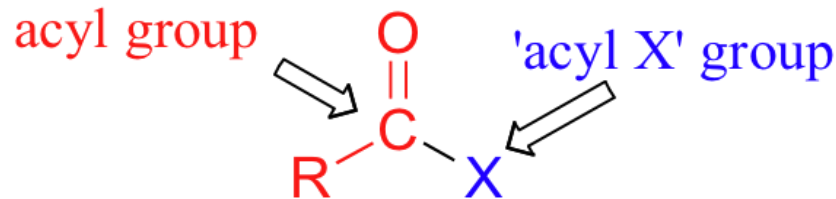
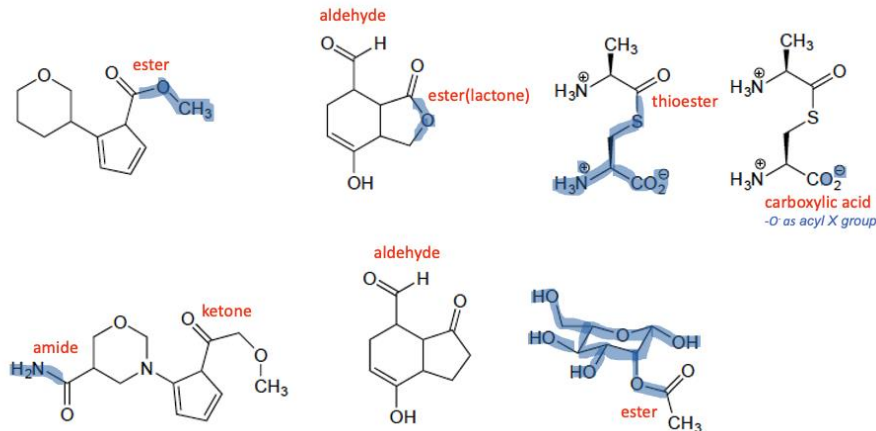


General reactivity:



# 10.3 Nucleophilic acyl substitutions: Carboxylic acid derivatives

Name all aldehydes, ketones and carboxylic acid derivative groups in the molecules below and indicate which part is the “acyl X group” (the acyl X group corresponds to the leaving group in an acyl substitution).



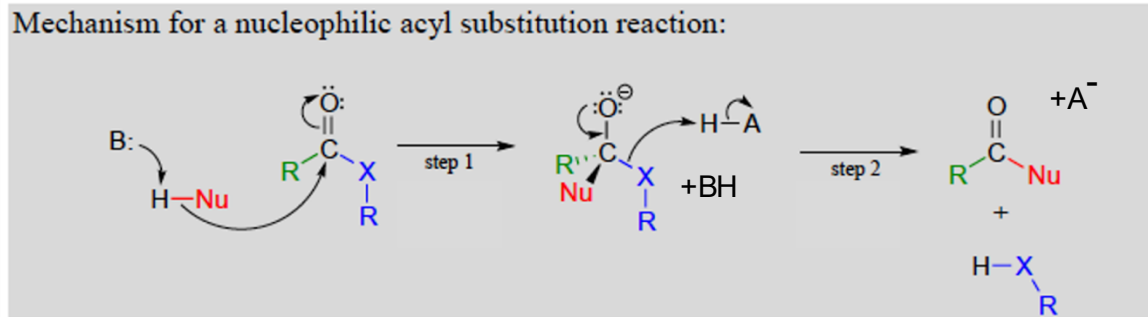
R - alkyl or C containing group

X - heteroatom-containing group

# 10.4 Nucleophilic acyl substitutions: Carboxylic acid derivatives

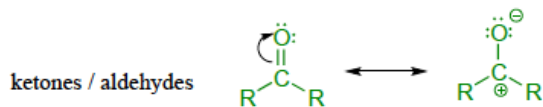
a) Draw the 2 steps of a nucleophilic substitution to a carbonyl. Use arrows to indicate the movement of electrons.

Mechanism for a nucleophilic acyl substitution reaction:

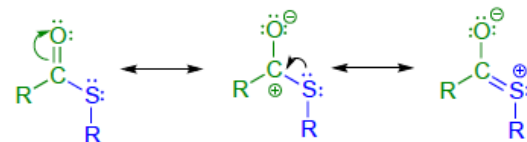


b) The **first mechanistic step** is the same as in nucleophilic additions to carbonyls. Explain why this step is faster for aldehydes and ketones compared to carboxylic acid derivatives.

More stable carbonyl carbon – less reactive – slower the reaction



carboxylic acid  
derivative  
(eg. thioester)



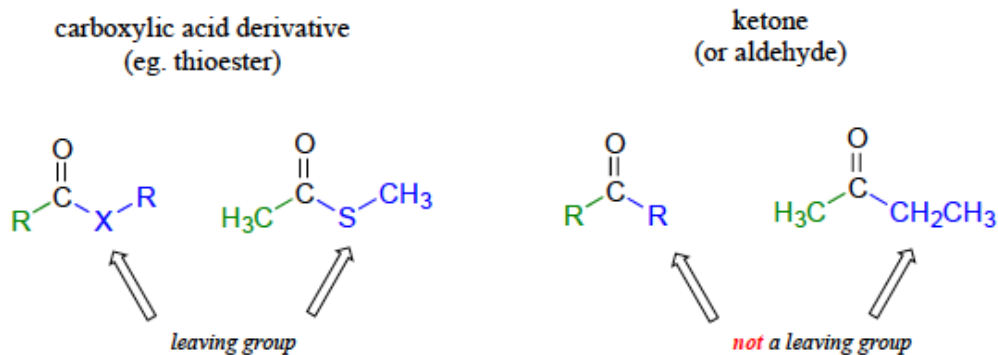
*lone pair on 'acyl X' group makes  
carbonyl carbon less electropositive*

## 10.4 Nucleophilic acyl substitutions: Carboxylic acid derivatives

Explain why carboxylic acid derivatives undergo nucleophilic acyl substitutions and aldehyde and ketones do not.

The carbonyl carbon of aldehydes and ketones **do not contain suitable leaving groups (R- or H- are too unstable)**.

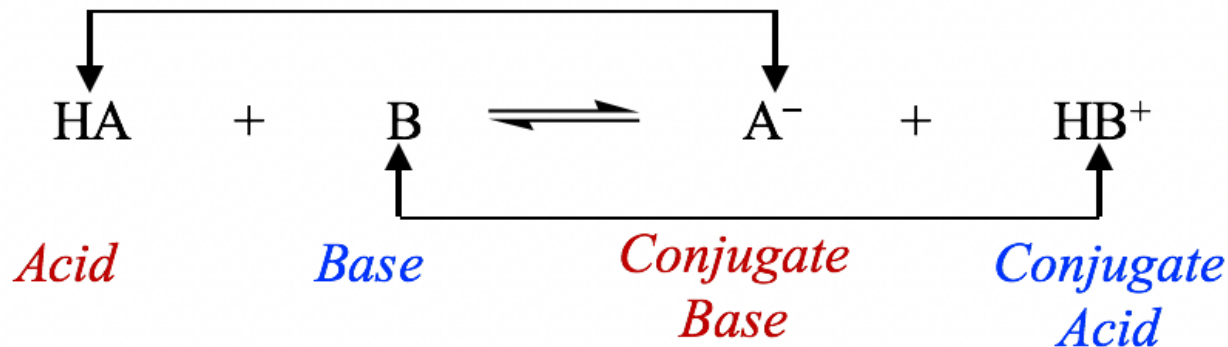
Aldehydes and ketones tend to undergo **nucleophilic addition** to form a tetrahedral alkoxide intermediate.



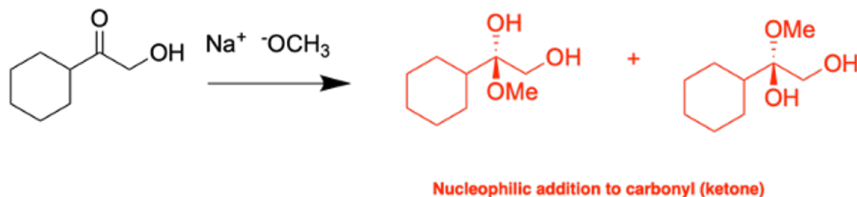
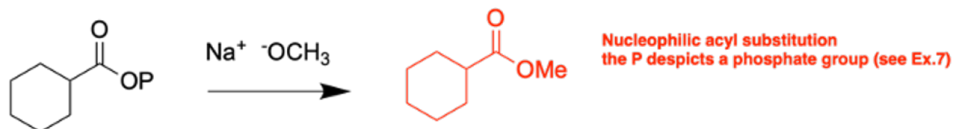
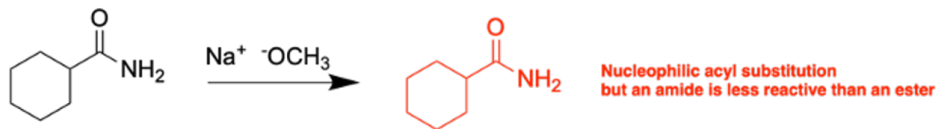
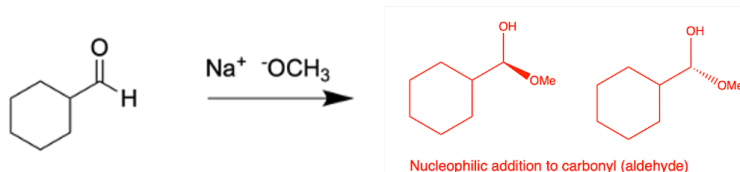
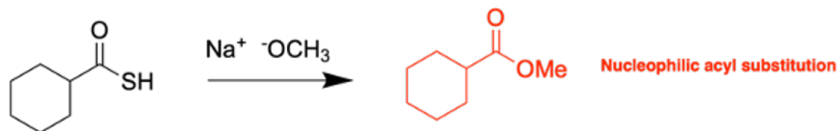
## 10.4 Nucleophilic acyl substitutions: Carboxylic acid derivatives

Repetition question/Important to understand concept: How does the strength of a leaving group (Y) correspond to the stability of the negative charge, basicity and the pKa of its corresponding conjugate acid (HY)?

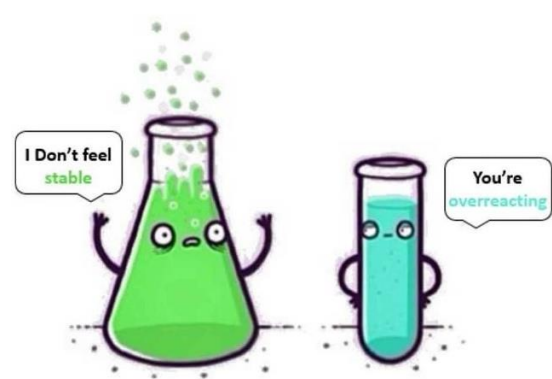
The better the negative charge is stabilized on the leaving group, the weaker it is as a base ( $A^-$ ), the lower is the pKa of its corresponding conjugate acid (HA).



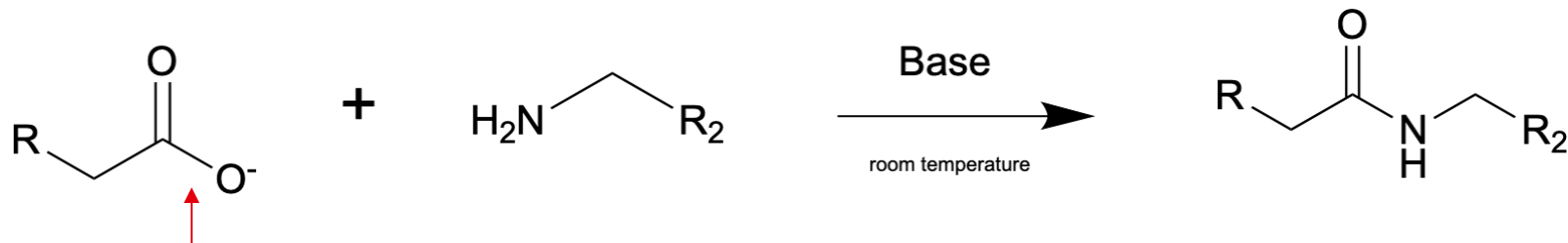
## 10.5 Draw the products of following reactions



It is possible to convert a reactive acid derivative to a less reactive acid derivative, but not the other way round.



a) Why does the following reaction not happen spontaneously?



carboxylate - highly unreactive carboxylic acid derivative

$\text{O}^-$ , is no leaving group, as the additional negative charge would be very badly stabilized). Formation of an amide bond would therefore require energy (uphill reaction).

b) How, then, does a living system accomplish a reaction such as the one shown above? To what other, highly reactive carboxylic acid derivative are carboxylates mostly converted to in biological systems in order to become activated?

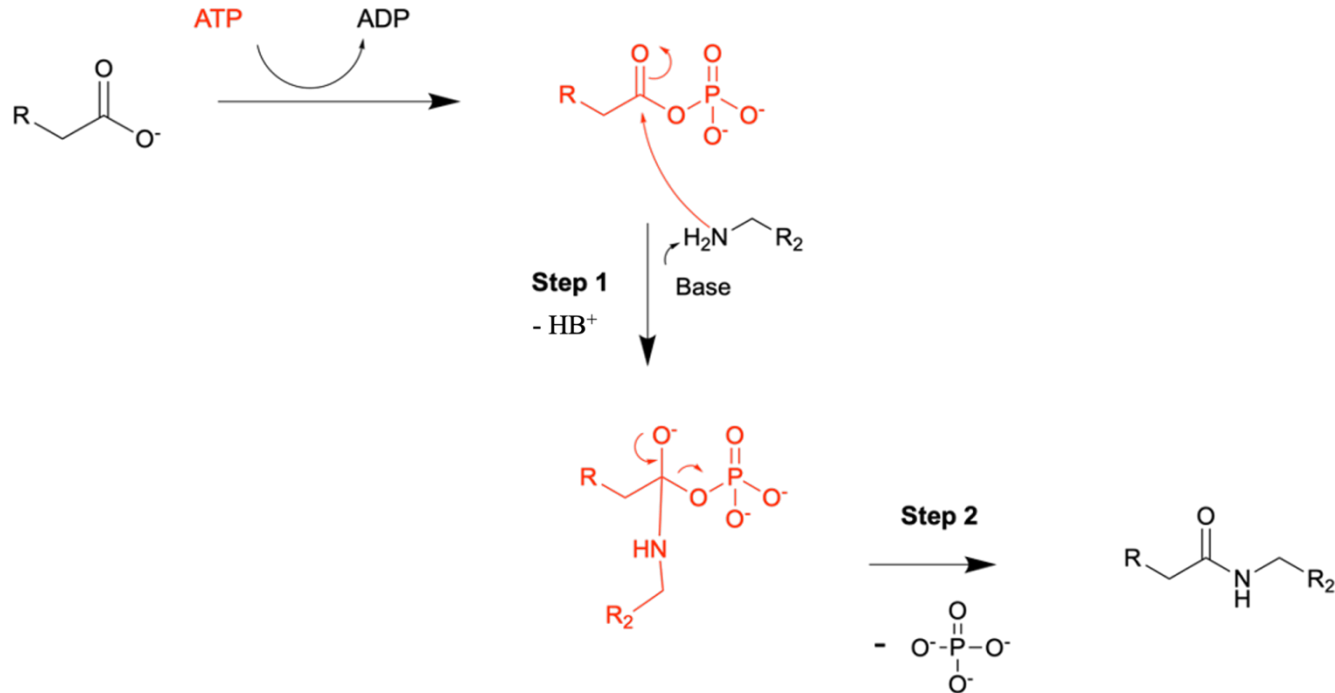
Needed a linked reaction which 'gives' energy - hydrolysis of ATP.

Enzymes activate the carboxylate by converting it to an **acyl phosphate**, while ATP gets converted to ADP

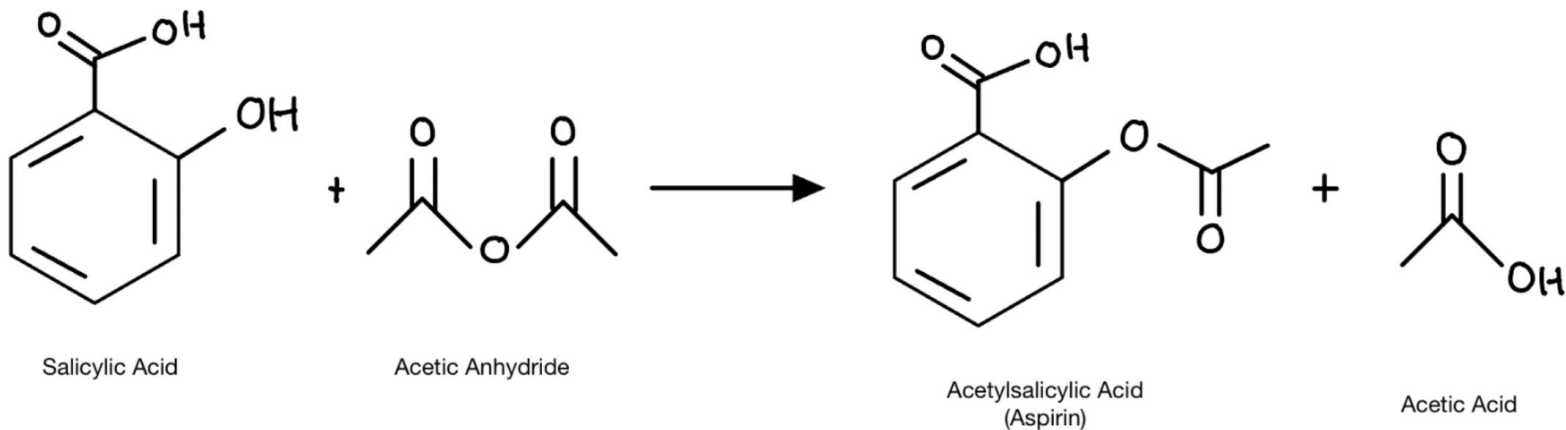


# EPFL 10.6 Activation of Carboxylic acid derivatives

c) Fill in the blank in following reaction scheme

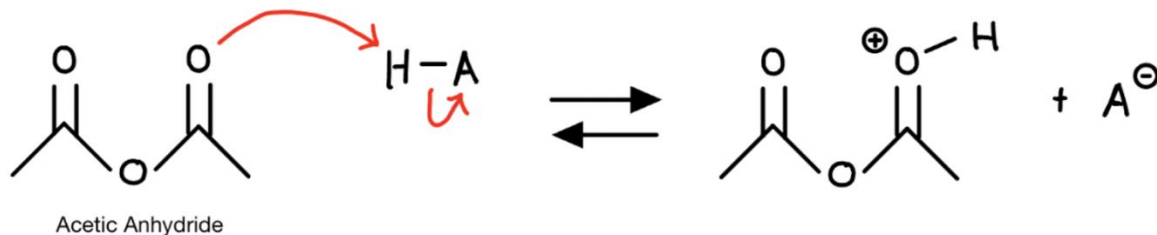


The commercial preparation of Aspirin involves the following reaction of a benzene derivative with an anhydride. Draw a complete mechanism for this reaction:

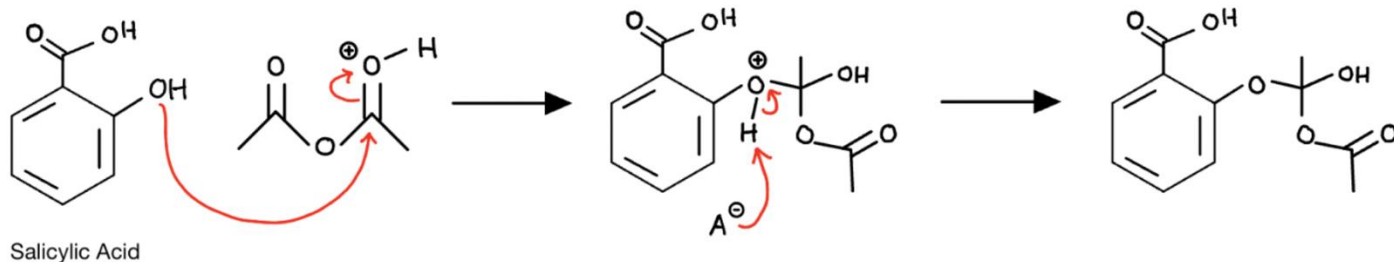


The commercial preparation of Aspirin involves the following reaction of a benzene derivative with an anhydride. Draw a complete mechanism for this reaction:

**1. Activation of Acetic Anhydride:** Acetic anhydride is activated in the presence of an acid catalyst, protonating the carbonyl oxygen and making it more electrophilic.

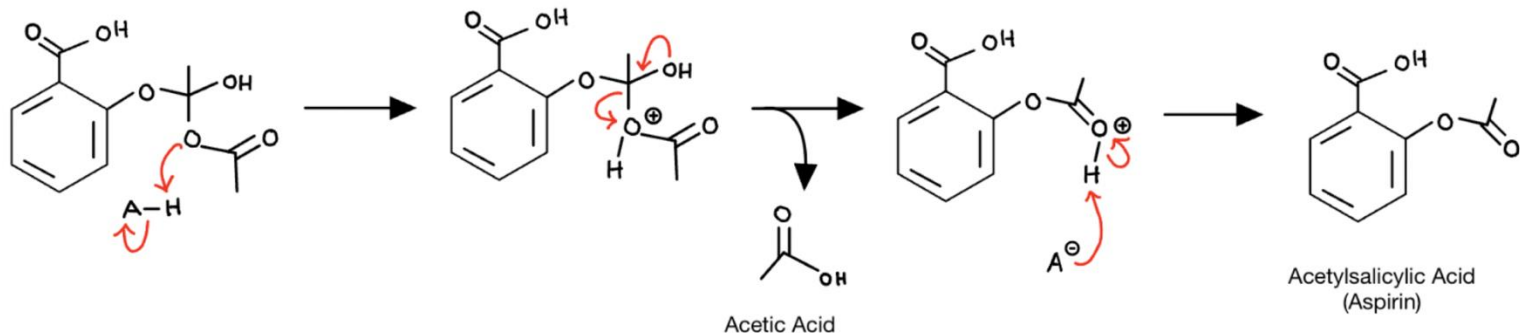


**2. Nucleophilic attack by Salicylic Acid:** the hydroxyl group on the benzene of salicylic acid acts as a nucleophile and attacks the electrophilic carbon of the acetic anhydride. This forms a tetrahedral intermediate



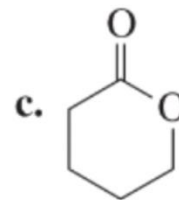
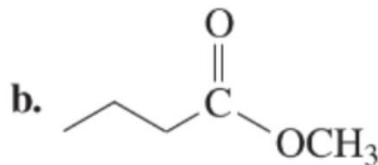
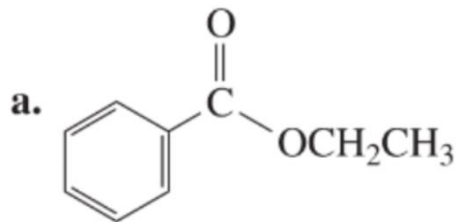
The commercial preparation of Aspirin involves the following reaction of a benzene derivative with an anhydride. Draw a complete mechanism for this reaction:

**3. Formation of Acetylsalicylic acid:** the tetrahedral intermediate collapses expelling acetic acid as a leaving group and forming aspirin.



You have learned about ester hydrolysis under basic conditions. Try to reason from your previous experience of how acids react with carbonyl groups how hydrolysis works under acidic conditions.

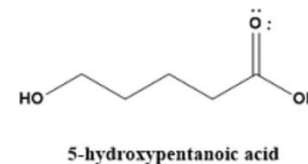
a) What products are formed from an acid-catalyzed hydrolysis of the following esters.



Hydrolysis products:

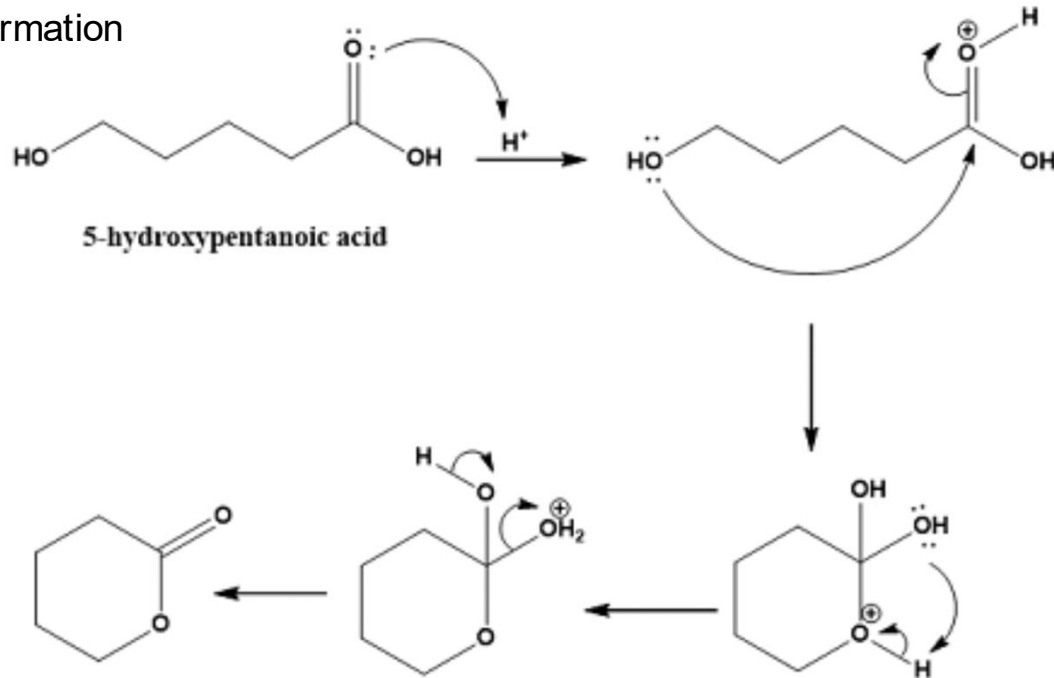
a.  
Benzoic acid ( $\text{C}_6\text{H}_5\text{COOH}$ )  
Ethanol ( $\text{CH}_3\text{CH}_2\text{OH}$ )

b.  
Butanoic acid ( $\text{CH}_3\text{CH}_2\text{CH}_2\text{COOH}$ )  
Methanol ( $\text{CH}_3\text{OH}$ )



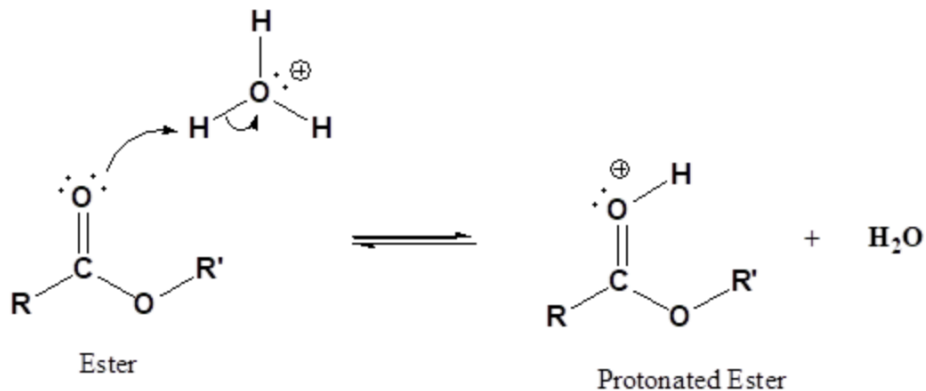
a) Show the mechanism of the ester-hydrolysis under acidic conditions for c.

Ester Formation

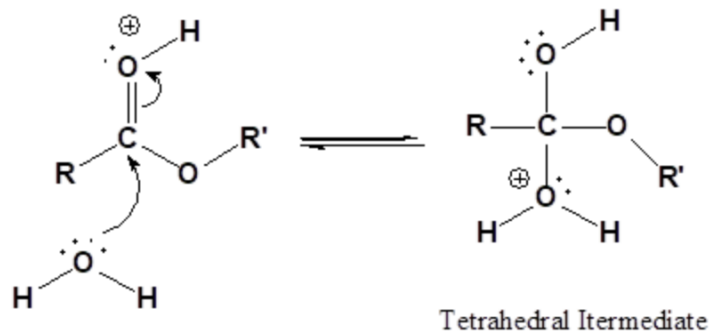


Ester Hydrolysis (reverse ester formation)

1) Protonation of the carbonyl



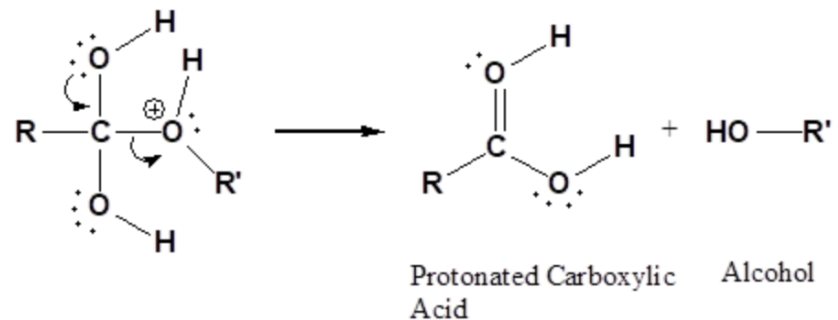
2) Nucleophilic attack by water



## 3) Proton transfer

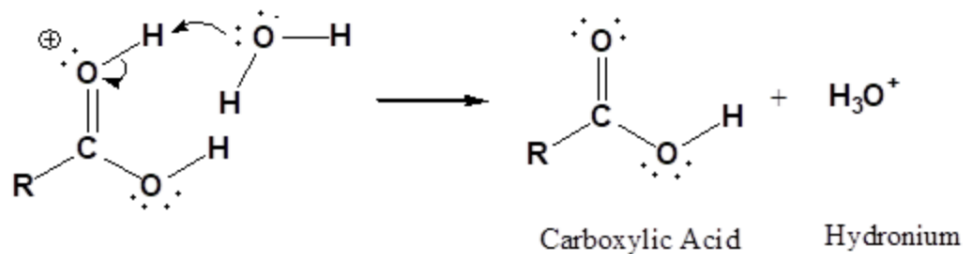


## 4) Leaving group removal





## 5) Deprotonation





Questions?